

# An Introduction to String Theory

by Falk Hassler

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office: 448 ; office hours: Thu 14<sup>00</sup> - 16<sup>00</sup>

lectures: Thu 8<sup>15</sup> - 10<sup>15</sup> (2 1/2 academic hours)

tutorials: Thu 10<sup>30</sup> - 12<sup>30</sup> ( — " — )

• both in 447

• assistant MSc Luca Scala

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exercises & handwritten notes on the website

<https://www.fhassler.de/teaching#st-2022>

problems appear  $\approx$  1 week before the tutorial



TODO: i) register @ website with USOS



optional ii) indicate preferences each week

optional iii) check how much points you got

mandatory iv) prepare your assigned problems

You can be absent (without attest) 2x

but have to indicate it on the website before assignments are made.

exam: • in written at the end of the semester

• tutorials are graded

• need  $\geq 3$  ( $> 50\%$ ) in tutorial to qualify for the exam

# 1. What, why and how (is/works String Theory)

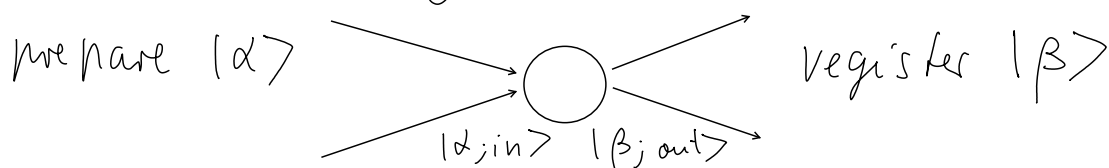
## 1.1. What

ST

ST is a relativistic, quantum-mechanical (physical) theory of massless fundamental strings.

↳ quantum-mechanical observers make probabilistic predictions about outcome of experiments using Hilbert spaces  $\mathcal{H} \ni |\psi\rangle$  for particle states and Hamiltonians  $H$  for time evolution.

example: scattering experiment



theory:  $|\alpha\rangle = \lim_{t \rightarrow -\infty} e^{-iHt/\hbar} |\alpha; in\rangle$

$$|\beta\rangle = \lim_{t \rightarrow \infty} e^{-iHt/\hbar} |\beta; out\rangle$$

S-matrix  $(S_{\beta\alpha}) = |\langle \beta; out | \alpha; in \rangle|^2$   
= probability  $(\alpha \rightarrow \beta) \geq 0$   
unitarity  $\rightarrow$

relativistic: different (inertial) observers compare i.e.  $S_{\beta\alpha}$  with the help of coordinates  $X^M$  and their transformations

$$X^{\mu} \rightarrow X^{\mu'} \quad X^{\mu'} = \Lambda^{\mu}_{\nu} X^{\nu} + a^{\mu}$$

$\mu = 0, 1, \dots, d-1$   $\uparrow$  Lorentz transformations  
translations

$$\Lambda^\mu{}_\nu \eta_{\rho\sigma} \Lambda^\lambda{}_\nu = \eta_{\mu\sigma} = \text{diag}(-1, +1, \dots, +1)$$

$\in O(d-1, 1)$

Lorentz rotations + translations = Poincaré group

fundamental strings 1-dim. compact object

only characterised by its tension  $T$ ,  $[T] = E^2$

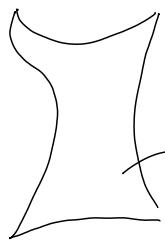
dimension of  $T = \text{Energy}^2 \rightarrow$

or area (scale)  $\sqrt{\alpha'}$  = length/time scale  
"  $l_s$

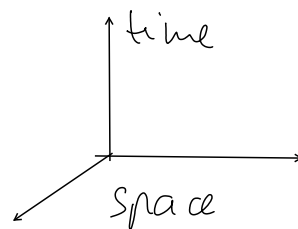
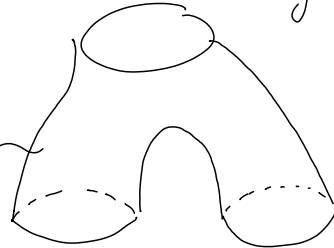
$$T = \frac{1}{2\pi\alpha'} \quad \alpha' = l_s^2$$

$\rightarrow$  There are open and closed strings, which can be orientated & unorientated. They sweep out the worldsheet as they propagate through space & time.

open string



closed string



Action  $\sim$  relativistic area  $A = \int_{\Sigma} d^2\theta \det \partial_\alpha X^m \partial^\alpha X_n$

$$S = T \cdot A$$

We work out details & consequences in this course.

1.2. Why

① Quantum gravity (QG)

Classical gravity, described by general relativity (GR) is notoriously hard to quantise with traditional methods.

i) perturbatively  $S_{E-H} = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} R + \text{matter}$

dim. analysis  $[g] = L^2 = M^{-2}$

$[R] = L^{-2}$

$\Rightarrow [G_N] = M^{-2} = L^2$

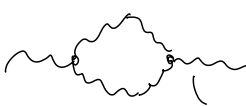
$G_N = \frac{1}{M_{\text{Plank}}^2}$  with  $M_{\text{Pl}} = 1.2 \cdot 10^{19} \text{ GeV}$   
 $L_{\text{Pl}} = 1.6 \cdot 10^{-35} \text{ m}$

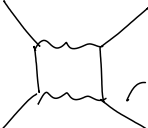
now expand  $g_{\mu\nu} = \eta_{\mu\nu} + \frac{1}{M_{\text{Pl}}^2} h_{\mu\nu}$  ← small fluctuations

$S_{E-H} = \int d^4x (\partial h)^2 + \frac{1}{M_{\text{Pl}}^2} h (\partial h)^2 + \frac{1}{M_{\text{Pl}}^2} h^2 (\partial h)^2 + \dots$   
 $+ \int d^4x \frac{1}{M_{\text{Pl}}^2} h_{\mu\nu} T^{\mu\nu}$  ↑ suppressed indices

energy-momentum tensor  $T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi$   
 scalar field  $\rightsquigarrow$  matter

QFT course: cancel divergences with counter terms

  $\sim \int^\Lambda \frac{d^4k}{k^4} \cdot \frac{k^2}{M_{\text{Pl}}^2} \sim \frac{\Lambda}{M_{\text{Pl}}^2}$   
 graviton


  $\sim \int \frac{d^4k}{k^8} \frac{k^8}{M_{\text{Pl}}^4} \sim \frac{\Lambda^4}{M_{\text{Pl}}^4}$   
 scalar

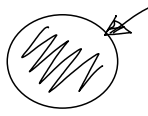
⋮

↳ so many counter terms required with unknown couplings  $\Rightarrow$  non-renormalisable

ii) non-perturbatively

• particle in QM wave packet

  $\lambda_c = \text{Compton-wave length}$   
 $\lambda_c = \frac{h}{M \cdot c}$

- black hole :   $r_S = \text{Schwarzschild radius}$   

$$r_S = \frac{2 G_N M}{c^2}$$

for  $M_{Pl}$ :  $\lambda_c = \pi r_S$   
 $\Rightarrow M_{Pl} = \sqrt{\frac{\hbar c}{G_N}}$

↳ Theory breaks down @  $M_{Pl}$

## ② String theory facts

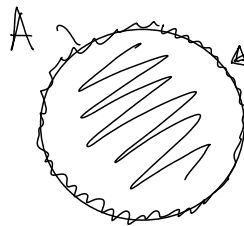
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- $\hbar_{string} > \hbar_{gravity}$
- tree-level (no-loops), low-energy ST interactions equal to those of gravitons (much more later)
- loop amplitudes are finite (no divergences)

$l_s \sim l_{pl}$  ST becomes candidate for QG

- (completing of) string theory realises the holographic principle

$$S_{BH} = \frac{A}{4L_p^2}$$



degrees of freedom on the surface not in the bulk

→ fundamental feature of QG

↗ AdS / CFT correspondence

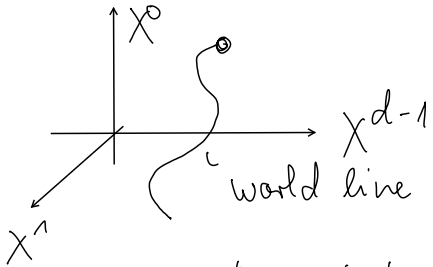
- $\hbar_{string} > \hbar_{gauge\ theory}$  i.e. might describe  $SU(3) \times SU(2) \times U(1)$  Standard model
- ↳ has room for Higgs, GUT and dark matter

Problem: No experimental evidences for strings or supersymmetry (ST's sidekick)

## 2. Relativistic actions

### 2.1. Relativistic point particle

Consider free particle of mass  $m$  moving through Minkowski space  $X^0, \dots, X^{d-1}$



Question: Which trajectories are physical?

Answer: Straight, time-like lines

= "shortest possible paths" where length is measured in proper time

$$X^\mu(\tau), \quad \tau \in [\tau_i, \tau_f]$$

$$S(X(\tau)) = \underbrace{\mathcal{L}}_{\text{length}} = -m \int_{\tau_i}^{\tau_f} d\tau \sqrt{-\dot{X}^2} = - \left( \frac{\partial}{\partial \tau} X^\mu \right) \left( \frac{\partial}{\partial \tau} X_\mu \right)$$

Equation of motion:  $\frac{\delta S}{\delta X^\mu} = \frac{d}{d\tau} \left( \frac{\partial \mathcal{L}}{\partial \dot{X}^\mu} \right) = 0, \quad \mathcal{L} = -m \sqrt{-\dot{X}^2}$

Euler-Lagrange equation  $\parallel$  Lagrangian

$$\frac{d}{d\tau} P_\mu = 0$$

$$P_\mu = m \frac{\dot{X}_\mu}{\sqrt{-\dot{X}^2}}$$

#### further features

- 1) reparametrisation invariant  
 $\hat{=}$  "gauge degree of freedom" like in E-M

$$\tau = \tau(s) \quad (X')^2 = \left( \frac{d\tau}{ds} \right)^2 (\dot{X})^2$$

$$S = -m \int_{\tau_i}^{\tau_f} d\tau \sqrt{-\left( \frac{ds}{d\tau} \right)^2 (\dot{X}')^2} = -m \int_{s_i}^{s_f} ds \sqrt{-(X')^2}$$

$$S_{\mathcal{L}/\tau} = S(\tau_f/\tau) \quad \text{same action}$$

- 2) Action can be generalised to

- a) curved space  $S = -m \int d\tau \sqrt{-\dot{X}^\mu g_{\mu\nu} \dot{X}^\nu}$   
 $\leadsto$  equation of motion becomes geodesic equation  
 b) coupled to E-M field  $S \rightarrow S + \int d\tau A_\mu \dot{X}^\mu$   
 3) does not make sense for massless particles  
 4) not good for quantisation, i.e. because of  $\sqrt{\dots}$

$\hookrightarrow$  to fix this problem introduce "auxiliary" Riemannian metric  $h = e^2 d\tau^2$ ,  $h_{\tau\tau} = e^2 > 0$  on world line

new action:

$$S(X, e) = \frac{1}{2} \int d\tau \sqrt{\det h} \cdot \left( h^{\tau\tau} \partial_\tau X^\mu \partial_\tau X_\mu - m \right)$$

"  $e$  "  $e^{-2}$

$$= \frac{1}{2} \int d\tau \left( \frac{\dot{X}^2}{e} - em^2 \right) e^{-2}$$

Equations of motion:

$$\left. \begin{array}{l} \frac{\delta S}{\delta e} = 0 \rightarrow -\frac{\dot{X}^2}{e^2} = m^2 \\ \frac{\delta S}{\delta \dot{X}^\mu} = 0 \rightarrow \frac{d}{d\tau} \left( \frac{\dot{X}^\mu}{e} \right) = 0 \end{array} \right\}$$

or  $e = \frac{\sqrt{-\dot{X}^2}}{m}$ ,

$$m \frac{d}{d\tau} \frac{\dot{X}^\mu}{\sqrt{-\dot{X}^2}} = 0 \quad (\text{same as before } \odot)$$

further features

1) also reparametrisation invariant with

$$\tilde{\tau} = f(\tau), \quad d\tilde{\tau} = f'(\tau) d\tau$$

$$\rightarrow \tilde{e} = \frac{e}{f'(\tau)} \quad \text{because} \quad \tilde{h} = \tilde{e}^2 d\tilde{\tau}^2 = \frac{e^2}{f'(\tau)^2} \cdot f'(\tau)^2 d\tau^2 = h$$

and of course  $\partial_{\tilde{\tau}} = f'(\tau) \partial_\tau$

2) Works for massless ( $m=0$ ) and even tachyonic ( $m^2 < 0$ ) particles.

3) We may use reparametrisation invariance to fix  $\tilde{e} = 1$ , where

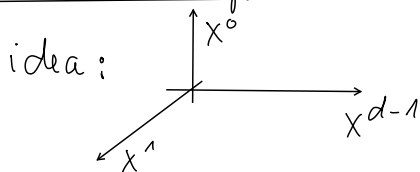
$$S = \frac{1}{2} \int d\tilde{e} (X'^2 - m^2)$$

with e.o.m

$$X'' = 0.$$

⚡ But this is not equivalent to the original unless we impose the constraint  $X'^2 = -m^2$

## 2.2. Strings



world line

world sheet

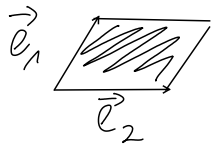


dictionary: mass  $m \longrightarrow$  tension  $T$

proper time  $\longrightarrow$  proper area

(w.r.t. Minkowski metric)

Riemannian situation:



$$dA = |\vec{e}_1| \cdot |\vec{e}_2| \cdot |\sin(\angle(\vec{e}_1, \vec{e}_2))|$$

$$= \sqrt{|\vec{e}_1|^2 |\vec{e}_2|^2 - (\vec{e}_1 \cdot \vec{e}_2)^2}$$

scalar product

remember:

$$|\vec{e}_1| |\vec{e}_2| \cos(\angle(\vec{e}_1, \vec{e}_2)) = \vec{e}_1 \cdot \vec{e}_2$$

now use  $\vec{e}_1 = \frac{d}{d\tau} X^\mu d\tau$ ,

$\vec{e}_2 = \frac{d}{d\sigma} X^\mu d\sigma$

$$A = \int d\tau d\sigma \sqrt{\left| \det \begin{pmatrix} \dot{X}^2 & \dot{X}^0 X'^1 \\ \dot{X}^0 X'^1 & X'^2 \end{pmatrix} \right|}$$



$$A = \int d^2\sigma \sqrt{|\det(\partial_\alpha X^\mu \partial_\beta X_\mu)_{\alpha,\beta=0,1}|}$$

where  $(\tau, \sigma) = (\sigma^0, \sigma^1)$

• The action  $S_{NG} = -T \cdot A$  is known as the Nambu-Goto action

• "physical" String motion time- & space-like tangent vector  $\rightarrow \det \leq 0$

$$S_{NG} = -T \int_{\tau_i}^{\tau_f} \int_0^{\sigma'} d\tau d\sigma \sqrt{(\dot{X} \cdot X')^2 - \dot{X}^2 X'^2}$$

Symmetries:

- manifestly Lorentz invariant
- reparameterisation invariant  
(please check for yourself)